

**Republic of Indonesia**  
**Ministry of Forestry**

**ID: Strengthening of Social Forestry in  
Indonesia (P165742)**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**[Appraisal/For Negotiations/Negotiated/Updated  
Version],<sup>4</sup>**

**[Date]**

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<sup>4</sup> The version that will be part of the negotiations package can be titled “For negotiations”. Once the ESCP is agreed at negotiations, the reference should be changed to “Negotiated” and dated the day of the conclusion of negotiations. If the ESCP is updated during implementation, the reference should be changed to “Updated” and the date should be revised the day of finalization of the update. ESCPs should always be dated and properly labelled.

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Indonesia (the Recipient) is implementing the ID: Strengthening of Social Forestry in Indonesia (P165742) (the Project), with the involvement of the Ministry of Forestry, as set out in the Original and Additional<sup>6</sup> Financing Grant Agreement (the Agreement[s]).<sup>7</sup> The International Bank for Reconstruction and Development (the Bank)<sup>8</sup>, acting as the Implementing Agency of the Global Environment Facility (GEF) and Sustainable Landscape Management Multi Donor Trust Fund (SLM MDTF), has agreed to provide the original financing and additional financing<sup>9</sup> for the Project, as set out in Agreement[s]. This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for the Project referred to above.<sup>10</sup>
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement[s]. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement[s].
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement[s], the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the Recipient agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient's Representative specified in the Agreement or the Director General of Budget Financing and Risk Management of the Ministry of Finance. The Recipient shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

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<sup>6</sup> If additional financing is being processed, refer to all relevant agreements and include "Original" and "Additional" to distinguish them. If more than one additional financing has been processed, refer to "First Additional", "Second Additional", etc., as relevant.

<sup>7</sup> Consult with the Country Lawyer for correct references.

<sup>8</sup> If the Project is financed by multiple financing sources (e.g., both an IBRD loan or IDA credit/grant and a TF grant), reference should be made to IBRD/IDA in all these capacities, with the term "the Bank" used to refer to all of them collectively.

<sup>9</sup> Only use "original" and "additional" in case of additional financing.

<sup>10</sup> Use this bracketed text if additional financing is being processed and the ESCP is being updated for said purpose.



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT</b>			
A	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks, including the recruitment of full-time safeguards specialist (one environmental and one social) within the PIU. The organizational structure, including the specialists, should be maintained throughout project implementation.</p>	An organizational structure including the two (2) safeguards specialists (one environmental and one social) will be established prior to commencement of project activities. The organizational structure, including the specialists, should be maintained throughout Project implementation.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
B	<p><b>CAPACITY BUILDING PLAN/MEASURES</b></p> <p>Prepare and implement the following capacity building measures for PMU and PIU staff, facilitators, communities, project workers on:</p> <ul style="list-style-type: none"> <li>Stakeholder mapping and engagement including specific needs for the indigenous peoples</li> <li>Environmental and social risks screening and assessment</li> <li>Screening and assessment of specific aspects of biodiversity</li> <li>Conflict prevention, mediation and resolution</li> <li>Community health and safety</li> </ul>	Training is done on an annual basis (or more often as required) prior to commencement of project activities at PMU office and provincial/district office for PMU and PIU staff and facilitators.	<b>Project Management Unit at national level and sub-national levels</b>
<b>MONITORING AND REPORTING</b>			
C	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	Six-monthly throughout Project implementation period	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
D	<p><b>CONTRACTORS' MONTHLY REPORTS</b></p> <p>In contracts for works using the Bank's standard procurement documents, contractors are required to provide monthly monitoring reports (including grievance management) to the Project Management Unit at the national and sub-national levels. Such monthly reports would be submitted to the Bank by the Government of Indonesia upon request.</p>	Monthly reports would be submitted to the Bank by the Government of Indonesia upon request.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
E	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Notify the Bank of any incident or accident relating to the project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence,</p>	Notify the Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request.	Project Management Unit at national level led by Social Forestry Area Preparation

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	<p>discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p>Provide review report and Corrective Action Plan to the Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank.</p>	<p>(PKPS).</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS</b></p> <p>1. Implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs which requires specific environmental and social assessment or management plans.</p> <p>2. Prior to commencement of physical activities onsite for sub-project implementation, prepare, adopt, and implement, Environmental and Social Assessment, ESA (UKL-UPL or SPPL) for each sub-project in accordance with the screening criteria as per Environmental and Social Management Framework (ESMF) that has been prepared for the Project, in a manner acceptable to the Bank. The ESA main outcomes and mitigation measures will be reflected in the respective ESMPs.</p> <p>3. Based on the ESA process, draft, adopt, and implement the subproject Environmental and Social Management Plan (ESMP), as required, in a manner acceptable to the Bank. The ESMP could be derived from Environmental Management Procedures and/or Environmental Code of Practices (ECOP) and/or (UKL-UPL or SPPL) for project requiring this study by GOI regulation. Submit to the Bank the proposed terms of reference, work plans or other documents defining the scope and outputs of technical assistance activities under the project, in form and substance satisfactory to the Bank to ensure that their scope and output are consistent with ESF.</p>	<p>Prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.</p> <p>Part of each subproject preparation phase, prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.</p>	<p>Project Implementation Unit (Forest Management Units, FMUs)</p>
1.2	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&amp;S documents and/or plans, the Labor Management Procedures, and code of conduct, into the E&amp;S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&amp;S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank.</p>	<p>Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation.</p>	
1.3	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Carry out the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project, including, inter alia the Integrated Area Development in accordance</p>	<p>Throughout Project implementation.</p>	

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	with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities in compliance with the terms of reference.		
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<b>LABOR MANAGEMENT PROCEDURES</b> Implement the Labor Management Procedures (LMP) for the Project	Throughout Project implementation.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
2.3	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b> Operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Maintain and operate the grievance mechanism for workers throughout Project implementation.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>WASTE MANAGEMENT PLAN</b> Develop and implement measures for wastes generation minimization and wastes and hazardous materials management resulting from agroforestry and construction activities (if applicable) under the ESMP in action #1.1 above. Implement Environmental Code of Practices (ECOP) to manage wastes and hazardous materials. Include assessing the nature and degree of associated risks in the procurement of any pesticide in ESIA/UKL-UPL, taking into account the proposed use and the intended users and the measures to be covered under the ESMP in action #1.1 above.	Part of each sub-project preparation phase, prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>TRAFFIC AND ROAD SAFETY</b> Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action #1.1 above.	Part of each sub-project preparation phase, prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)
4.2	<b>COMMUNITY HEALTH AND SAFETY</b> Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities related to use of pesticides, life and fire safety, and emergency response and include these measures in the ESMPs under action #1.1 above, in a manner acceptable to the Bank.	Part of each sub-project preparation phase, prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.	Project Implementation Unit (Forest Management Units, FMUs)
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
5.1	<p><b>RESETTLEMENT PLANS</b></p> <p>For each project site, assess the nature and degree of expected land acquisition and involuntary resettlement under the Project. The focus is on access restrictions and livelihood impacts arising from social forestry licensing on a site-specific basis. This includes documenting in detail the affected persons with a census and consultative process for defining agreed mitigations.</p> <p>Prepare, adopt, and implement resettlement action plans (RAPs) in accordance with ESS 5 and consistent with the requirements of the Land Acquisition and Resettlement Policy Framework/ Process Framework (LARPF/PF) that has been prepared for the Project, and thereafter adopt and implement the respective RAPs before carrying out the associated activities, in a manner acceptable to the Bank.</p>	RAPs to be submitted for Bank's approval prior to commencing Project activities that involve land acquisition and resettlement.	Project Implementation Unit (Forest Management Units, FMUs)
5.2	<p><b>GRIEVANCE MECHANISM</b></p> <p>Develop and implement the arrangements for the grievance mechanism for resettlement that are described in the LARPF/PF, RAPs and SEP.</p>	Prior to commencement of resettlement activities	Project Implementation Unit (Forest Management Units, FMUs)
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>BIODIVERSITY RISKS AND IMPACTS</b></p> <p>Align <i>Peta Indikatif dan Areal Perhutanan Sosial</i> (PIAPS) with Village Spatial Planning through meaningful public consultation with stakeholders at the regional level, with adequate timeline and verified participants, to prevent poor planning in zoning activities.</p>	During village spatial planning process.	Project Implementation Unit (Forest Management Units, FMUs)
6.2	<p><b>BIODIVERSITY MITIGATION MEASURES</b></p> <p>Develop and implement measures and actions to assess and manage risks and impacts on biodiversity including identification of different types of habitat and develop facilities and infrastructures with the interest in the protection of natural habitats and biodiversity (biodiversity friendly infrastructures). These are to be covered within the ESMP for each sub-project under action #1.1 above.</p>	Part of each sub-project preparation phase, prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.	
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b> [See examples of possible actions below that can be used if determined that ESS7 is relevant, as set out in paragraph 54 of the E&S Policy and paragraphs 8-10 of ESS7.]			
7.1	<p><b>INDIGENOUS PEOPLES PLAN</b></p> <p>Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) for each site where there are impacted indigenous peoples, consistent with the requirements of the Indigenous Peoples Planning Framework (IPPF) and ESS7, in a manner acceptable to the Bank.</p>	Submit the respective IPP that includes a focused approach to Free Prior Informed Consent (FPIC) for the Bank's approval prior to the carrying out of any activity that requires the preparation of an IPP. Once approved, implement the IPP throughout Project implementation.	Project Implementation Unit (Forest Management Units, FMUs)

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7.2	<b>GRIEVANCE MECHANISM:</b> Prepare, adopt, and implement the arrangements for the grievance mechanism for indigenous people, as required under the IPPF and further describe such arrangements in the respective IPPs and submit progress reports to PMU and World Bank.	Same with timeframe for action #7.1.	Project Implementation Unit (Forest Management Units, FMUs)
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<b>CHANCE FINDS</b> Prepare, adopt, and implement the chance finds procedure described in the ESMF developed for the Project under action #1.1 above.	Part of each sub-project preparation phase, prior to the commencement of each subproject physical activities and to be performed throughout the project implementation.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN</b> Review on an annual basis the Stakeholder Engagement Plan (SEP) prepared during preparation; update SEP as needed and ensure each project site has an active engagement process with documentation with regards to SEP implementation.	Annual review and SEP implementation throughout the life of the project.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).
10.2	<b>PROJECT GRIEVANCE MECHANISM</b> Prepare, adopt, maintain and operate the arrangements for the grievance mechanism, per ESMF and SEP and any specific procedures for sub-groups such as Indigenous Peoples. Ensure records of grievances are maintained, analysed and reported.	Operation of GRM by the start of Project activities.	Project Management Unit at national level led by Social Forestry Area Preparation (PKPS).